



# Broadcast Enforced Threshold Schemes with Disenrollment

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# Outline

- Threshold schemes with disenrollment
- A scheme: the dealer has no control over disenrollment
- Broadcast enforced threshold scheme with disenrollment
- Observations/Open Problems

# Threshold Schemes

- First studied by Shamir and Blakley in 1979
- **(t,n) threshold scheme** : divides a secret  $K$  into  $n$  shares so that
  - knowledge of  $t$  (**threshold**) or more shares allows reconstruction of  $K$
  - knowledge of  $t-1$  or fewer leaves  $K$  undetermined
  - called **perfect** if  $t-1$  or fewer shares reveal nothing about  $K$
- Applications:
  - Secure distributed storage of a file/key etc.
  - Collective control and computation: threshold encryption and signature

# Threshold Scheme – An Example

- To access a safe
  - At least 2 people need to combine their shares to have the key to the safe
  - Any 2 out of  $n$  authorized people can obtain the key
  - $(2, n)$  threshold scheme
- Authorized people: *participants/members*
- A Trusted Third Party, (here denoted as *dealer*),
  - securely distributes initial shares to participants
  - updates the secret and the membership in the group via broadcast

# Disenrollment in Threshold Scheme

- The dealer may want to delete/remove a member
  - Its share is treated as disclosed and assumed to become public knowledge for security reasons
  - What happens to threshold? It **reduces** from  $t$  to  $t-1$
- Can we **maintain the threshold  $t$**  when disenrolling an untrustworthy participant?
  - Need to change the shared key/secret
  - Update the valid participants with new shares
- Can  $t$  be maintained **using broadcast channel only** from the dealer?
  - Threshold schemes with disenrollment capability (Blakley, Blakley, Chan, Massey, Crypto'92)
  - Threshold schemes with  $L$ -fold disenrollment capability

# Threshold Scheme with Disenrollment

## — A Seminal Model by Blakley *et. al.*

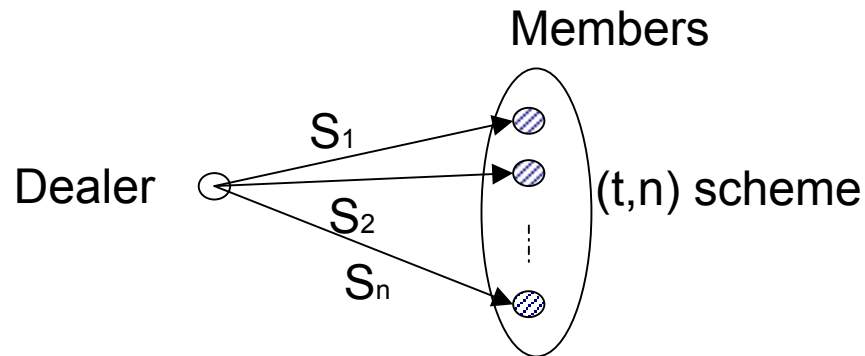
A  $(t, n)$  perfect threshold scheme with  $L$ -fold disenrollment capability is a collection of shares  $S_{1:n}$ , shared secrets  $K_{0:L}$ , broadcast messages  $P_{1:L}$  that satisfies:

$$\begin{aligned} H(K_i | S_{v_1:v_k}, P_{1:i}) &= 0 & t \leq k \leq n - i \\ H(K_i | S_{v_1:v_k}, S_{d_1:d_i}, P_{1:i}) &= H(K_i) & k < t, \end{aligned}$$

where  $S_v$ ,  $S_d$  denote shares of valid and disenrolled participants.

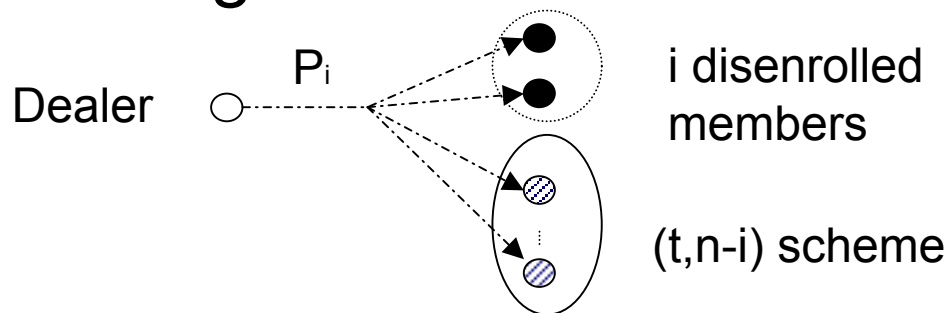
# Interpretations of Disenrollment Model by Blakley *et. al.*

## ■ On initialization: secure channel



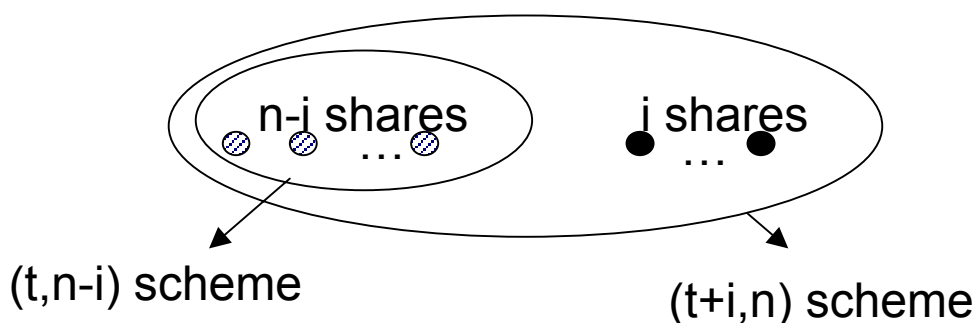
- Dealer
- ⊗ Valid members
- Disenrolled members
- Secure channel
- > Broadcast channel
- $S_j$  Shares
- $P_i$  Broadcast messages

## ■ At stage i : broadcast



# A Construction Maintaining $t$ -Threshold under Disenrollment

- A  $(t, n-i)$  threshold scheme can be constructed from a  $(t+i, n)$  threshold scheme by publishing  $i$  shares



- We are interested in the case where the dealer has full control over disenrollment – has the capability to disenroll any participant at any time and prevents the disenrolled participant from getting current and future secrets.
























# A Scheme Satisfying Original Model but Renders Dealer Lack of Control Over Disenrollment

Dis. Stage								
Shares		0	1	2	...	i	...	L
	S_1	<div></div>	<div></div>	<div></div>	...	<div></div>	...	<div></div>
	S_2	<div></div>	<div></div>	<div></div>	...	<div></div>	...	<div></div>
	⋮					⋮		
	S_j	<div></div>	<div></div>	<div></div>	...	<div></div>	...	<div></div>
	⋮					⋮		
	S_n	<div></div>	<div></div>	<div></div>	...	<div></div>		<div></div>
Shared Secret		K_0	K_1	K_2	...	K_i	...	K_L
Corresponded threshold sch.		(t,n)	(t+1,n)	(t+2,n)		(t+i,n)		(t+L,n)

# A Scheme Satisfying Original Model but Renders Dealer Lack of Control Over Disenrollment

Disenrolling participant 1 at stage 1:

Dis. Stage	0	1	2	...	i	...	L	 broadcasted
Shares								
S_1				...		...		
S_2				...		...		
...					...			
S_j				...		...		
...					...			
S_n				...				
Shared Secret	K_0	K_1	K_2	...	K_i	...	K_L	
Corresponded threshold sch.	(t,n)	(t+1,n)	(t+2,n)		(t+i,n)		(t+L,n)	

# What Is Missing in the Original Definition?

- In the scheme presented: a coalition of  $t+i$  participants at stage 0 can obtain future shared secrets  $K_1$  up to  $K_i$  in advance
- The dealer cannot disenroll any of  $t+i$  colluders of its choice.
- To prevent any set of colluders from obtaining future secrets so to allow the dealer to have the control over disenrollment, the model should ensure that the broadcast  $P_i$  is needed in the reconstruction of  $K_i$

# Enforcing the Broadcast Constraint

- We add the constraint:

$$I(K_i; S_{1:n}, P_{1:i-1}) = 0$$

➔ without  $P_i$ ,  $K_i$  cannot be reconstructed even given all shares and all previous broadcast

- Schemes satisfying the constraint are called **broadcast enforced** threshold scheme with disenrollment
- No colluders can obtain the shared secret  $K_i$  in advance without having  $P_i$

# A Broadcast Enforced Threshold Scheme with Disenrollment

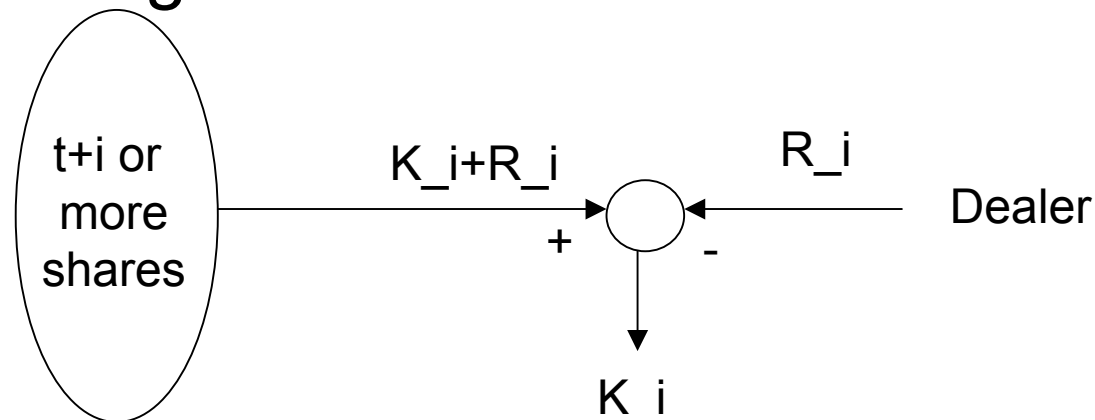
- Consider

- Share  $S_j = \{S_j^{(0)}, \dots, S_j^{(i)}, \dots, S_j^{(L)}\}$ ,

- where  $S_j^{(i)}$  is a share of a  $(t+i, n)$  threshold scheme sharing  $K_i + R_i$ , with  $R_i$  being a random seed.

- Broadcast  $P_i = \{R_i, S_{d_1}^{(i)}, \dots, S_{d_i}^{(i)}\}$ .

- It prevents any set of colluders from obtaining  $K_i$  before stage  $i$ .



# Is Broadcast Enforcement Sufficient for Dealer to Have Control Over Disenrollment?

- A collusion of  $t+i$  can recover  $K_1+R_1, \dots, K_i+R_i$  and obtain  $K_i$  once the dealer broadcasts
  - The dealer can't disenroll any of the  $t+i$  colluders at its choice
- Not all the broadcast enforcement schemes ensure the dealer of control over disenrollment.

# A Scheme with Dealer's Control – Attributed to Brickell-Stinson

- Share given to  $j$ :  $S_j = \{S_j^{(0)}, R_j^{(1)}, \dots, R_j^{(L)}\}$ ,  
where  $R_j^{(i)}$  denotes encryption/decryption  
key for participant  $j$  at stage  $i$ .
- Broadcast  $P_i = \{S_{v_1}^{(i)} + R_{v_1}^{(i)}, S_{v_2}^{(i)} + R_{v_2}^{(i)}, \dots, S_{v_{n-i}}^{(i)} + R_{v_{n-i}}^{(i)}\}$ ,  $S_v$  being the share of a valid participant
- If the dealer knows who are colluders, it  
can disenroll them by not updating them  
with new shares - disenrolling more than  
one member at a time.

# Lower bound on the entropy of broadcast

- Conjecture in the original paper

$$H(P_i), iH(K_i) = im$$

- Barwick et. al. proved in ACISP'02 the bound for original model:

$$\sum_{l=1}^i H(P_l) \geq \sum_{l=1}^i \min(l, n - l - t + 1) H(K)$$

- In the broadcast enforcement model, we prove  
 $H(P_i), \min(i+1, n-t-i+1) m$



# Conclusions and Problems

- Demonstrated that there are schemes that satisfy original mathematical definitions but do not allow the dealer to disenroll a member of choice
- The enforcement that requires the broadcast from the dealer is needed in the reconstruction of current shared secret is not enough to give dealer the control over the disenrollment
- We find the lack of control of the dealer in presence of collusion is partially due to the dealer's ability to disenroll only *one* participant at a time
- How to characterize the model in which the dealer has full control over disenrollment remains an open problem